

Some Topics in Algebraic Combinatorics

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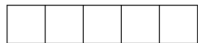
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Outline

1. Introduction to the combinatoric with "coloring" $1 \times n$ rectangles
2. Definition of graphs and walks
3. Counting walks on graphs
4. Probability matrix of a graph

Example Combinatorial Problems

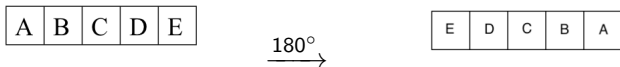
- ▶ n-colorings of 1×5 boards.



- ▶ Squares are colored with letters.



- ▶ Rotating the board 180° , gives a new coloring.

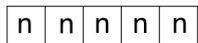


- ▶ We define two colorings are "the same" if rotating one results in the other.
- ▶ Special Case

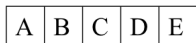
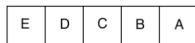


n -Colorings of 1×5 Board

- ▶ Goal: Count the number of unique colorings with 180° flips.

 n^5  n^3

- ▶ $n^5 - n^3$ The number of colorings which don't equal their 180° rotation.

 \cong 

(One equivalence class)

- ▶ $\frac{1}{2}(n^5 - n^3)$ (The number of equivalence class of colorings which don't equal their 180° rotation.)

n -Colorings of 1×5 Board (Continued)

- ▶ $\frac{1}{2}(n^5 - n^3)$ (The number of different equivalence classes of n -colorings which don't equal their 180° rotation.)
- ▶ n^3 (The number of different equivalence classes of n -colorings which equal their 180° rotation.)
- ▶ $\frac{1}{2}(n^5 - n^3) + n^3$ (The total number of different equivalence classes of n -colorings.)
- ▶ Note: This argument can be generalized to n -colorings of $1 \times k$ board.

Multiset

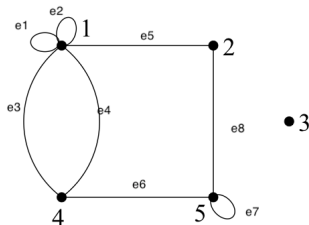
- ▶ Given a finite set S and integer $k \geq 0$.
- ▶ $\binom{S}{k}$ denotes the set of k -element subsets of S .
- ▶ e.g. $S = \{1, 2, 3\}$ and $k = 2$
- ▶ $\binom{S}{2} = \{12, 13, 23\}$

Multiset

- ▶ A multiset is a set with repeated elements
- ▶ e.g. $\{1, 1, 2, 2, 3, 3\}$
- ▶ $\{1, 2, 1, 3, 2, 3\} = \{1, 1, 2, 2, 3, 3\}$
- ▶ $\binom{S}{k}$ denotes the set of k -elements multisets on S .
- ▶ $S = \{1, 2, 3\}$ and $k = 2$
- ▶ $\binom{S}{k} = \{12, 13, 23\}$, $\binom{S}{k} = \{11, 22, 33, 12, 13, 23\}$

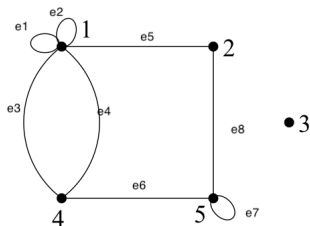
Graphs

- ▶ A (finite) graph G consists of a vertex set $V = \{v_1, v_2, v_3, \dots, v_p\}$ and edges set $E = \{e_1, \dots, e_q\}$ with a function $\psi : E \rightarrow \binom{V}{2}$



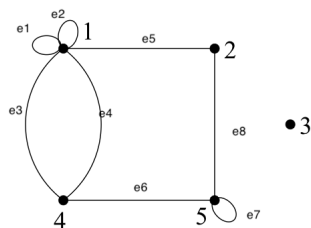
Graphs

- ▶ A (finite) graph G consists of a vertex set $V = \{v_1, v_2, v_3, \dots, v_p\}$ and edges set $E = \{e_1, \dots, e_q\}$ with a function $\psi : E \rightarrow \binom{V}{2}$



- ▶ $V(\text{vertex}) = \{1, 2, 3, 4, 5\}$ and $E(\text{edge}) = \{e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8\}$
- ▶ $\binom{V}{2} = \{11, 22, 33, 44, 55, 12, 13, 14, 15, 23, 24, 25, 34, 35, 45\}$

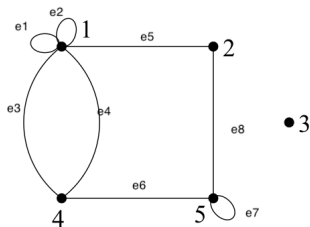
Graphs



- ▶ $E(\text{edge}) = \{e1, e2, e3, e4, e5, e6, e7, e8\}$
- ▶ $\binom{V}{2} = \{11, 22, 33, 44, 55, 12, 13, 14, 15, 23, 24, 25, 34, 35, 45\}$
- ▶ e.g. $\psi(e1) = \psi(e2) = 11$ ($e1, e2$ are called loops)
- ▶ $\psi(e3) = \psi(e4) = 14$ (there is a multiple edge between 1 and 4)

Adjacency Matrix of the graph G

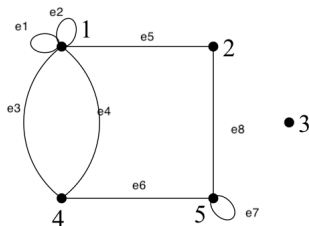
- ▶ p is the number of vertices in the graph.
- ▶ The adjacency matrix of the graph G is the $p \times p$ matrix $A = A(G)$, whose (i, j) -entry a_{ij} is equal to the number of edges incident to v_i and v_j .



▶ $A(G) = \begin{bmatrix} 2 & 1 & 0 & 2 & 0 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \end{bmatrix}$

Walks

- ▶ A walk in G of length ℓ from vertex u to vertex v is a sequence $v_{a_1} = u, e_{a_1}, v_{a_2}, e_{a_2}, \dots, v_{a_\ell}, e_{a_\ell}, v_{a_{\ell+1}} = v$



- ▶ A walk in G of length 1 from vertex 1 to vertex 2 is a sequence 1, e_5 , 2
- ▶ A walk in G of length 2 from vertex 1 to vertex 2 could be the sequence 1, e_2 , 1, e_5 , 2 and sequence 1, e_1 , 1, e_5 , 2.

Counting Walks

Goal: Count the number of walks from vertex u to vertex v .

Theorem

For any integer $\ell \geq 1$, the (i, j) -entry of the matrix $A(G)^\ell$ is equal to the number of walks from v_i to v_j in G of length ℓ .

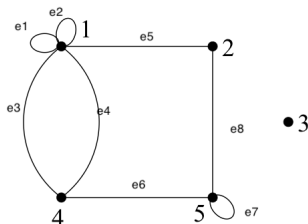
Sketch of proof

Let $A = (a_{ij})$. The (i, j) -entry of $A(G)^\ell$ is given by

$$(A(G)^\ell)_{ij} = \sum a_{ii_1} a_{i_1 i_2} \cdots a_{i_{\ell-1} j}$$

where the sum ranges over all sequences $(i_1, \dots, i_{\ell-1})$

Example

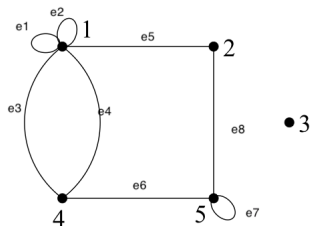


- ▶ $\ell = 2$
- ▶ For each sequence of ℓ , vertices starting at i and ending at j , there are a_{ii} walks of length one from vertex i to i and then $a_{i_1 i_1}$ walks of length one from a_i to a_{i_1} , and so on, after ℓ steps we arrive at j , then sum over all such sequences
- ▶

$$(A(G)^2)_{21} = a_{21}a_{11} + a_{22}a_{21} + a_{23}a_{31} + a_{24}a_{41} + a_{25}a_{51}$$

$$(A(G)^2)_{21} = 2 \cdot 1 + 0 \cdot 1 + 0 \cdot 0 + 0 \cdot 2 + 1 \cdot 0 = 2$$

Example (Continued)

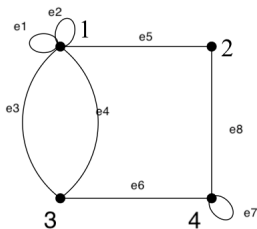


$$\ell = 2$$

$$A(G)^2 = A(G) = \begin{bmatrix} 2 & 1 & 0 & 2 & 0 \\ 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \end{bmatrix}^2 = \begin{bmatrix} 9 & 2 & 0 & 4 & 3 \\ 2 & 2 & 0 & 3 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 4 & 3 & 0 & 5 & 1 \\ 3 & 1 & 0 & 1 & 3 \end{bmatrix}$$

$$(A(G)^2)_{21} = 2$$

Example (Continued)



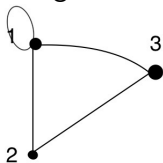
► $\ell = 2$

$$A(G)^2 = A(G) = \begin{bmatrix} 2 & 1 & 2 & 0 \\ 1 & 0 & 0 & 1 \\ 2 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix}^2 = \begin{bmatrix} 9 & 2 & 4 & 3 \\ 2 & 2 & 3 & 1 \\ 4 & 3 & 5 & 1 \\ 3 & 1 & 1 & 3 \end{bmatrix}$$

$$(A(G)^2)_{21} = 2$$

$$A^\ell = U \cdot \text{diag}(\lambda_1^\ell, \dots, \lambda_p^\ell) U^{-1}$$

- ▶ An easier way to count the number of walks
- ▶ A real symmetric $p \times p$ matrix M has p linearly independent real eigenvectors.



$$A(G) = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

$$\lambda_1 = 1 + \sqrt{2}, \lambda_2 = -1, \lambda_3 = 1 - \sqrt{2}$$

$$A^\ell = U \cdot \text{diag}(\lambda_1^\ell, \dots, \lambda_p^\ell) U^{-1}$$

$$A(G) = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

$$\lambda_1 = 1 + \sqrt{2}$$

$$\lambda_2 = -1$$

$$\lambda_3 = 1 - \sqrt{2}$$

$$v_1 = (\sqrt{2}, 1, 1)$$

$$v_2 = (0, -1, 1)$$

$$v_3 = (-\sqrt{2}, 1, 1)$$

$$A^\ell = U \cdot \text{diag}(\lambda_1^\ell, \dots, \lambda_p^\ell) U^{-1}$$

► **Goal:** Diagonalize A

$$A(G) = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

$$U = \begin{bmatrix} 0 & -\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \\ \frac{-\sqrt{2}}{2} & \frac{1}{2} & \frac{1}{2} \\ \frac{\sqrt{2}}{2} & \frac{1}{2} & \frac{1}{2} \end{bmatrix}$$

$$\text{diag}(\lambda_1^\ell, \dots, \lambda_p^\ell) = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 - \sqrt{2} & 0 \\ 0 & 0 & 1 + \sqrt{2} \end{bmatrix}^\ell$$

$$U^{-1} = U^T = \begin{bmatrix} 0 & -\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \\ \frac{-\sqrt{2}}{2} & \frac{1}{2} & \frac{1}{2} \\ \frac{\sqrt{2}}{2} & \frac{1}{2} & \frac{1}{2} \end{bmatrix}$$

$$A^\ell = U \cdot \text{diag}(\lambda_1^\ell, \dots, \lambda_p^\ell) U^{-1}$$

$$A(G)^\ell = (U \cdot \text{diag}(\lambda_1, \dots, \lambda_p) \cdot U^{-1})^\ell$$

$$A(G)^\ell = (U \cdot \text{diag}(\lambda_1, \dots, \lambda_p) \cdot U^{-1}) \dots (U \cdot \text{diag}(\lambda_1, \dots, \lambda_p) \cdot U^{-1})$$

$$A(G)^\ell = U \cdot \text{diag}(\lambda_1, \dots, \lambda_p)^\ell \cdot U^{-1}$$

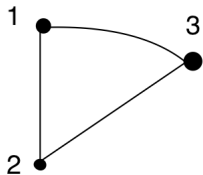
$$A(G)^\ell = U \cdot \text{diag}(\lambda_1^\ell, \dots, \lambda_p^\ell) \cdot U^{-1}$$

$$= \begin{bmatrix} 0 & -\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \\ -\frac{\sqrt{2}}{2} & \frac{1}{2} & \frac{1}{2} \\ \frac{\sqrt{2}}{2} & \frac{1}{2} & \frac{1}{2} \end{bmatrix} \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 - \sqrt{2} & 0 \\ 0 & 0 & 1 + \sqrt{2} \end{bmatrix}^\ell \begin{bmatrix} 0 & -\frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \\ -\frac{\sqrt{2}}{2} & \frac{1}{2} & \frac{1}{2} \\ \frac{\sqrt{2}}{2} & \frac{1}{2} & \frac{1}{2} \end{bmatrix}$$

The complete graph K_p

K_p is a graph with vertex set $V = \{v_1, \dots, v_p\}$, and one edge between any two distinct vertices.

- ▶ K_p has p vertices and $\binom{p}{2} = \frac{1}{2}p(p-1)$ edges.
- ▶ Example



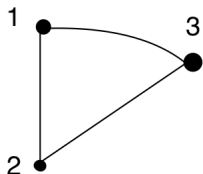
- ▶ $V = \{1, 2, 3\}$. K_3 has 3 vertices and $\binom{3}{2} = \frac{1}{2}3(3-1) = 3$ edges.

Closed walks

- ▶ A *closed walk* of G is a walk that ends where it begins.
- ▶ The number of closed walks of length ℓ in K_p from some vertex v_i to itself is given by

$$(A(K_p)^\ell)_{ii} = \frac{1}{p}((p-1)^\ell + (p-1)(-1)^\ell)$$

- ▶ Example: Complete Graph K_3



$$(A(K_3)^1)_{ii} = \frac{1}{3}((3-1)^1 + (3-1)(-1)^1) = 0$$

$$(A(K_3)^2)_{ii} = \frac{1}{3}((3-1)^2 + (3-1)(-1)^2) = 2$$

Algebraic Proof

- ▶ J denotes the $p \times p$ matrix of all 1's and I is the identity matrix.

- ▶ $J_3 = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$, $I = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

- ▶ $A(K_p) = J - I$

- ▶ $A(K_3) = J_3 - I_3 = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} - \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$

- ▶ Binomial theorem

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}$$

Algebraic Proof

$$A(K_p)^\ell = (J - I)^\ell = \sum_{k=0}^{\ell} \binom{\ell}{k} J^k (-I)^{\ell-k}$$

$$J^k = p^{k-1} J \text{ (mathematical induction)}$$

$$A(K_p)^\ell = (J - I)^\ell = \sum_{k=1}^{\ell} (-1)^{\ell-k} \binom{\ell}{k} p^{k-1} J + (-1)^\ell I$$

Binomial theorem again,

$$(J - I)^\ell = \frac{1}{p} ((p-1)^\ell - (-1)^\ell) J + (-1)^\ell I$$

Algebraic Proof

$$A(K_p)_{ij}^{\ell} = \frac{1}{p}((p-1)^{\ell} - (-1)^{\ell})$$

$$A(K_p)_{ii}^{\ell} = \frac{1}{p}((p-1)^{\ell} + (p-1)(-1)^{\ell})$$

The total number of walks of length ℓ in K_p .

$$\sum_{i=1}^p \sum_{j=1}^p (A(K_p)^\ell)_{ij} = p(p-1)^\ell$$

- Note: We will sum over all the walks instead of just closed walks.

Proof:

$$\begin{aligned} & (p^2 - p)A(K_p)_{ij}^\ell + pA(K_p)_{ii}^\ell = \\ & (p^2 - p)\frac{1}{p}((p-1)^\ell - (-1)^\ell) + p \cdot \frac{1}{p}((p-1)^\ell + (p-1)(-1)^\ell) = \\ & \qquad \qquad \qquad p(p-1)^\ell \end{aligned}$$

Probability matrix

Let $M = M(G)$ be the matrix whose rows and columns are indexed by the vertex $\{v_1, \dots, v_p\}$ of G , and whose (u,v) -entry is given by

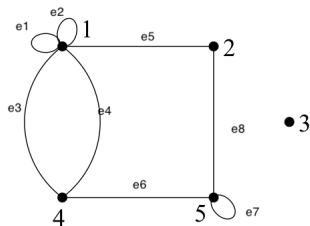
$$M_{uv} = \frac{\mu_{uv}}{d_u}$$

μ_{uv} - the number of edges between u and v .

d_u - the number edges incident to u

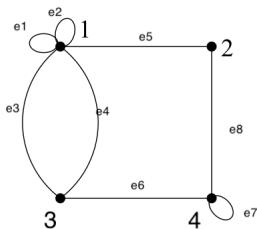
M_{uv} - the probability that if one starts at u , then the next step will be to v .

Example



- ▶ When $u = 2$, $d_u = 2$
- ▶ When $u = 2$ and $v = 1$, $\mu_{uv} = 1$
- ▶ (2,1)-entry is $M_{21} = \frac{\mu_{21}}{d_2} = \frac{1}{2}$
- ▶ note: $d_3 = 0$ so we can get rid of isolated point 3.

Example



$$M(G) = \begin{bmatrix} 2 & 1 & 2 & 0 \\ 5 & 1 & 2 & 0 \\ 1 & 0 & 0 & 1 \\ 2 & 0 & 0 & 1 \\ 3 & 0 & 0 & 1 \\ 0 & \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \end{bmatrix}$$

$$A(G) = \begin{bmatrix} 2 & 1 & 2 & 0 \\ 1 & 0 & 0 & 1 \\ 2 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix}$$

Theorem: Let G be a finite graph. Then the probability matrix $M = M(G)$ is diagonalizable and has only real eigenvalues.

- ▶ Let D be the diagonal matrix whose rows and columns are indexed by the vertices of G , with $D_{vv} = \sqrt{d_v}$
- ▶ Then

$$(DMD^{-1})_{uv} = \sqrt{d_u} \cdot \frac{\mu_{uv}}{d_u} \cdot \frac{1}{\sqrt{d_v}}$$

$$(DMD^{-1})_{uv} = \frac{\mu_{uv}}{\sqrt{d_u d_v}}$$

- ▶ DMD^{-1} is a symmetric matrix.
- ▶ M is diagonalizable and has only real eigenvalues.

Thank you

Citation:

Stanley, Richard, "Topics in Algebraic Combinatorics"